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PATENT
5468-07300/AUS920010260US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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SEP 19 2001
Technology Center 2600

In re Application of:
Broussard

Serial No. 09/870,622

Filed: May 31, 2001

For: SYSTEM AND METHOD FOR
ENCAPSULATING SOFTWARE
COMPONENTS IN AN
APPLICATION PROGRAM
INTERFACE USING A PROXY
OBJECT

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Group Art Unit: 2671
Examiner: Unknown

Atty. Dkt. No. AUS920010260US1

I hereby certify that this correspondence is being deposited
with the U.S. Postal Service as First Class Mail in an envelope
addressed to: Assistant Commissioner for Patents, Washington,
D.C. 20231, on the date indicated below:

9/13/01
Date

Kevin L. Daffer

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant requests consideration of ☒ the references listed on the attached Form PTO-1449 and/or ☐ the additional information identified below in paragraph 3. A copy of each reference listed on the Form PTO-1449 is enclosed.

1. This Information Disclosure Statement is submitted:

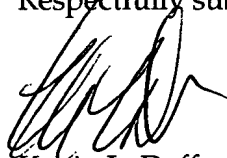
- a. ☐ within 3 months of the filing date of a national application other than a continued prosecution application under § 1.53(d);
☐ within 3 months of the date of entry of the national stage as set forth in § 1.491 in an International application;
☒ before the mailing date of a first Office Action on the merits; or
☐ before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- b. ☐ after the events of above paragraph 1a and prior to the mailing date of a final Office Action or Notice of Allowance, and thus: ☐ the certification of paragraph 2 below is provided, or ☐ a fee of \$180.00 is enclosed.

- c. ☐ after the mailing date of a final Office Action or a Notice of Allowance and prior to payment of the issue fee, and thus: the certification of paragraph 2 below is provided and a fee of \$180.00 is enclosed.
2. It is hereby certified:
- ☐ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56 (c) more than three months prior to the filing of the Statement.
3. ☐ Consideration of the following additional information (including any co-pending or abandoned U.S. applications, prior uses and/or sales, etc.) is requested:
4. For each non-English language reference listed on the attached Form PTO-1449:
- ☐ reference is made to an English language translation submitted herewith, and/or
- ☐ reference is made to a foreign patent office search report (in the English language) submitted herewith, and/or
- ☐ reference is made to an English language translation of a foreign patent office search report submitted herewith, and/or
- ☐ reference is made to the concise explanation contained in the specification of the present application at page(s) _____, and/or
- ☐ reference is made to the concise explanation set forth below:
5. ☐ Applicant also offers the following comments for the Examiner's consideration:
6. ☐ Also enclosed is a copy of a foreign search report citing these references.
7. ☐ The listed documents were brought to the attention of the Applicant(s) after payment of the issue fee in the captioned case. The documents were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Applicant(s) request this Information Disclosure Statement and attached Form PTO-1449 be placed in the file of the captioned application.

8. ☐ Applicant(s) requests that the Information Disclosure Statement and attached Form PTO-1449 and references, which are being filed before the grant of the patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned application.

If any required fees are missing, the Commissioner is authorized to charge said fees to Conley, Rose & Tayon, P.C. Deposit Account No. 50-1505/5468-07300.

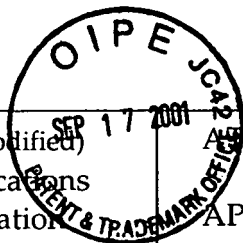
Respectfully submitted,



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Reg. No. 34,146
Attorney for Applicant(s)

CONLEY, ROSE & TAYON, P.C.
P. O. Box 398
Austin, Texas 78767
(512) 476-1400

Date: 9/13/01

**Form PTO-1449** (modified)

List of Patents and Publications

For Applicant's Information

Disclosure Statement

(Use several sheets if necessary)

PATENT & TRADEMARK OFFICE
ALERTY. DKT. NO. AUS920010260US1

APPLICANT: Broussard

FILING DATE: May 31, 2001

SERIAL NO. 09/870,622

GROUP: 2671

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U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
DGB	A1	5,146,593	9/1992	Brandle et al.	345	700	
DGB	A2	5,327,529	7/1994	Fults et al.	345	155	
DGB	A3	5,550,563	8/1996	Matheny et al.	345	168	
DGB	A4	5,600,776	2/1997	Johnson et al.	345	326	
DGB	A5	5,604,396	2/1997	Duxbury et al.	345	800	
DGB	A6	5,819,091	10/1998	Arendt et al.	345	685	
DGB	A7	5,909,684	6/1999	Nelson	707	103	
DGB	A8	5,933,144	8/1999	Alcorn	345	347	
DGB	A9	5,946,487	8/1999	Dangelo	345	705	
DGB	A10	5,974,256	10/1999	Matthews et al.	345	705	
DGB	A11	6,005,568	12/1999	Simonoff et al.	345	335	
DGB	A12	6,049,329	4/2000	Zetts et al.	345	179	
DGB	A13	6,066,181	5/2000	DeMaster	717	5	
DGB	A14	6,085,120	7/2000	Schwerdtfeger et al.	700	90	
DGB	A15	6,128,011	10/2000	Peng	345	335	

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.